

# Applications of Logic to Analysis

Vassilios Gregoriades

7<sup>th</sup> January, 2011,  
New Orleans

A topological space  $\mathcal{X}$  is a *Polish space* if  $\mathcal{X}$  is separable and metrizable by a complete distance function.

*Examples:*  $\mathbb{R}$ ,  $\omega^k$ , the *Baire space*  $\mathcal{N} = \omega^\omega$ ,  $(C(K), \|\cdot\|_\infty)$ ,  $K$  compact and separable Banach spaces. We will denote Polish spaces by  $\mathcal{X}$ ,  $\mathcal{Y}$ .

If  $P \subseteq \mathcal{X}$  we will write  $P(x)$  instead of  $x \in P$ .

*Borel sets*  $\underline{B}$ .

**Analytic Sets.** A set  $P \subseteq \mathcal{X}$  is *analytic* or  $\Sigma_1^1$  if there is a closed set  $Q \subseteq \mathcal{X} \times \mathcal{N}$

$$P(x) \iff \exists \alpha \in \mathcal{N} \ Q(x, \alpha)$$

**Coanalytic sets.** A set is *coanalytic* or  $\Pi_1^1$  if it is the complement of an analytic set.

$$\Delta_1^1 = \Sigma_1^1 \cap \Pi_1^1.$$

*Suslin's Theorem.*

$$\underline{B} = \Delta_1^1$$

## Effective Theory.

Suppose that  $\mathcal{X}$  is a Polish space,  $d$  is compatible distance function for  $\mathcal{X}$  and  $(x_n)_{n \in \mathbb{N}}$  is a sequence in  $\mathcal{X}$ . Define the relation  $P_<$  of  $\omega^4$  as follows  $P_<(i, j, k, m) \iff d(x_i, x_j) < \frac{k}{m+1}$ . Similarly we define the relation  $P_\leq$ .

The sequence  $(x_n)_{n \in \mathbb{N}}$  is a *recursive presentation* of  $\mathcal{X}$ , if

- (1) it is a dense sequence and
- (2) the relations  $P_<$  and  $P_\leq$  are recursive.

The spaces  $\mathbb{R}$ ,  $\mathcal{N}$  and  $\omega^k$  admit a recursive presentation i.e., they are *recursively presented*. Some other examples:  $\mathbb{R} \times \omega$ ,  $\mathbb{R} \times \mathcal{N}$ . However not all Polish spaces are recursively presented.

Every Polish space admits an  $\varepsilon$ -recursive presentation for some suitable  $\varepsilon$ .

Without loss of generality we will deal with recursively presented Polish spaces.

## Effective Theory.

Suppose that  $\mathcal{X}$  is a Polish space,  $d$  is compatible distance function for  $\mathcal{X}$  and  $(x_n)_{n \in \mathbb{N}}$  is a sequence in  $\mathcal{X}$ . Define the relation  $P_<$  of  $\omega^4$  as follows  $P_<(i, j, k, m) \iff d(x_i, x_j) < \frac{k}{m+1}$ . Similarly we define the relation  $P_\leq$ .

The sequence  $(x_n)_{n \in \mathbb{N}}$  is a *recursive presentation* of  $\mathcal{X}$ , if

- (1) it is a dense sequence and
- (2) the relations  $P_<$  and  $P_\leq$  are recursive.

The spaces  $\mathbb{R}$ ,  $\mathcal{N}$  and  $\omega^k$  admit a recursive presentation i.e., they are *recursively presented*. Some other examples:  $\mathbb{R} \times \omega$ ,  $\mathbb{R} \times \mathcal{N}$ . However not all Polish spaces are recursively presented.

Every Polish space admits an  $\varepsilon$ -recursive presentation for some suitable  $\varepsilon$ .

Without loss of generality we will deal with recursively presented Polish spaces.

## Effective Theory.

Suppose that  $\mathcal{X}$  is a Polish space,  $d$  is compatible distance function for  $\mathcal{X}$  and  $(x_n)_{n \in \mathbb{N}}$  is a sequence in  $\mathcal{X}$ . Define the relation  $P_<$  of  $\omega^4$  as follows  $P_<(i, j, k, m) \iff d(x_i, x_j) < \frac{k}{m+1}$ . Similarly we define the relation  $P_\leq$ .

The sequence  $(x_n)_{n \in \mathbb{N}}$  is a *recursive presentation* of  $\mathcal{X}$ , if

- (1) it is a dense sequence and
- (2) the relations  $P_<$  and  $P_\leq$  are recursive.

The spaces  $\mathbb{R}$ ,  $\mathcal{N}$  and  $\omega^k$  admit a recursive presentation i.e., they are *recursively presented*. Some other examples:  $\mathbb{R} \times \omega$ ,  $\mathbb{R} \times \mathcal{N}$ . However not all Polish spaces are recursively presented.

Every Polish space admits an  $\varepsilon$ -recursive presentation for some suitable  $\varepsilon$ .

Without loss of generality we will deal with recursively presented Polish spaces.

## Effective Theory.

Suppose that  $\mathcal{X}$  is a Polish space,  $d$  is compatible distance function for  $\mathcal{X}$  and  $(x_n)_{n \in \mathbb{N}}$  is a sequence in  $\mathcal{X}$ . Define the relation  $P_<$  of  $\omega^4$  as follows  $P_<(i, j, k, m) \iff d(x_i, x_j) < \frac{k}{m+1}$ . Similarly we define the relation  $P_\leq$ .

The sequence  $(x_n)_{n \in \mathbb{N}}$  is an  *$\varepsilon$ -recursive presentation* of  $\mathcal{X}$ , if

- (1) it is a dense sequence and
- (2) the relations  $P_<$  and  $P_\leq$  are  *$\varepsilon$ -recursive*.

The spaces  $\mathbb{R}$ ,  $\mathcal{N}$  and  $\omega^k$  admit a recursive presentation i.e., they are *recursively presented*. Some other examples:  $\mathbb{R} \times \omega$ ,  $\mathbb{R} \times \mathcal{N}$ . However not all Polish spaces are recursively presented.

Every Polish space admits an  $\varepsilon$ -recursive presentation for some suitable  $\varepsilon$ .

Without loss of generality we will deal with recursively presented Polish spaces.

$N(\mathcal{X}, s) =$  the ball with center  $x_{(s)_0}$  and radius  $\frac{(s)_1}{(s)_2+1}$ .

A set  $P \subseteq \mathcal{X}$  is **semirecursive** if  $P = \bigcup_{i \in \mathbb{N}} N(\mathcal{X}, \alpha(i))$  where  $\alpha$  is a recursive function from  $\omega$  to  $\omega$ .

$\Sigma_1^0 =$  all semirecursive sets

$\rightsquigarrow$  effective open sets.

$\Pi_1^0 =$  the complements of semirecursive sets

$\rightsquigarrow$  effective closed sets.

$\Sigma_1^1 =$  projections of  $\Pi_1^0$  sets

$\rightsquigarrow$  effective analytic sets.

$\Pi_1^1 =$  the complements of  $\Sigma_1^1$  sets

$\rightsquigarrow$  effective coanalytic sets.

$\Delta_1^1 = \Sigma_1^1 \cap \Pi_1^1 =$  effective Borel sets (Kleene).

Similarly one defines the **relativized** pointclasses with respect to some parameter  $y \in \mathcal{Y}$  which will be denoted by  $\Delta_1^1(y)$  for example.

A set  $A$  is Borel exactly when  $A$  is in  $\Delta_1^1(\varepsilon)$  for some  $\varepsilon \in \mathcal{N}$ .

A function  $f : \mathcal{X} \rightarrow \mathcal{Y}$  is continuous if and only if the set  $R^f \subseteq \mathcal{X} \times \omega$ ,  $R^f(x, s) \iff f(x) \in N(\mathcal{Y}, s)$  is open.

A function  $f : \mathcal{X} \rightarrow \mathcal{Y}$  is  $\Sigma_1^0$ -recursive if and only if the set  $R^f \subseteq \mathcal{X} \times \omega$ ,  $R^f(x, s) \iff f(x) \in N(\mathcal{Y}, s)$ , is  $\Sigma_1^0$ .

A point  $x \in \mathcal{X}$  is in  $\Delta_1^1$  if the relation  $U \subseteq \omega$  which is defined by

$$U(s) \iff x \in N(\mathcal{X}, s)$$

is  $\Delta_1^1$ .

As before one gets the class of points which are in  $\Delta_1^1(y)$ .



A set  $A$  is Borel exactly when  $A$  is in  $\Delta_1^1(\varepsilon)$  for some  $\varepsilon \in \mathcal{N}$ .

A function  $f : \mathcal{X} \rightarrow \mathcal{Y}$  is continuous if and only if the set  $R^f \subseteq \mathcal{X} \times \omega$ ,  $R^f(x, s) \iff f(x) \in N(\mathcal{Y}, s)$  is open.

A function  $f : \mathcal{X} \rightarrow \mathcal{Y}$  is  $\Sigma_1^0$ -recursive if and only if the set  $R^f \subseteq \mathcal{X} \times \omega$ ,  $R^f(x, s) \iff f(x) \in N(\mathcal{Y}, s)$ , is  $\Sigma_1^0$ .

A point  $x \in \mathcal{X}$  is in  $\Delta_1^1$  if the relation  $U \subseteq \omega$  which is defined by

$$U(s) \iff x \in N(\mathcal{X}, s)$$

is  $\Delta_1^1$ .

As before one gets the class of points which are in  $\Delta_1^1(y)$ .

A set  $A$  is Borel exactly when  $A$  is in  $\Delta_1^1(\varepsilon)$  for some  $\varepsilon \in \mathcal{N}$ .

A function  $f : \mathcal{X} \rightarrow \mathcal{Y}$  is continuous if and only if the set  $R^f \subseteq \mathcal{X} \times \omega$ ,  $R^f(x, s) \iff f(x) \in N(\mathcal{Y}, s)$  is open.

A function  $f : \mathcal{X} \rightarrow \mathcal{Y}$  is  $\Sigma_1^0$ -recursive if and only if the set  $R^f \subseteq \mathcal{X} \times \omega$ ,  $R^f(x, s) \iff f(x) \in N(\mathcal{Y}, s)$ , is  $\Sigma_1^0$ .

A point  $x \in \mathcal{X}$  is in  $\Delta_1^1$  if the relation  $U \subseteq \omega$  which is defined by

$$U(s) \iff x \in N(\mathcal{X}, s)$$

is  $\Delta_1^1$ .

As before one gets the class of points which are in  $\Delta_1^1(y)$ .

A set  $A$  is Borel exactly when  $A$  is in  $\Delta_1^1(\varepsilon)$  for some  $\varepsilon \in \mathcal{N}$ .

A function  $f : \mathcal{X} \rightarrow \mathcal{Y}$  is continuous if and only if the set  $R^f \subseteq \mathcal{X} \times \omega$ ,  $R^f(x, s) \iff f(x) \in N(\mathcal{Y}, s)$  is open.

A function  $f : \mathcal{X} \rightarrow \mathcal{Y}$  is  $\Delta_1^1$ -recursive if and only if the set  $R^f \subseteq \mathcal{X} \times \omega$ ,  $R^f(x, s) \iff f(x) \in N(\mathcal{Y}, s)$ , is  $\Delta_1^1$ .

A point  $x \in \mathcal{X}$  is in  $\Delta_1^1$  if the relation  $U \subseteq \omega$  which is defined by

$$U(s) \iff x \in N(\mathcal{X}, s)$$

is  $\Delta_1^1$ .

As before one gets the class of points which are in  $\Delta_1^1(y)$ .

Points in  $\Delta_1^1$  are important because:

(a) they preserve the complexity of  $\Delta_1^1$  under projections i.e., if  $P \subseteq \mathcal{X} \times \mathcal{Y}$  is in  $\Delta_1^1$  and for all  $x$  the section  $P_x = \{y \in \mathcal{Y} \mid P(x, y)\}$  is either empty or contains a member in  $\Delta_1^1(x)$  then the projection  $\{x \in \mathcal{X} \mid (\exists y \in \mathcal{Y}) P(x, y)\}$  is also in  $\Delta_1^1$ . Similarly for  $\Pi_1^1$  instead of  $\Delta_1^1$ .

(b) they provide uniformizing functions for  $\Delta_1^1$  sets i.e., if  $P \subseteq \mathcal{X} \times \mathcal{Y}$  is in  $\Delta_1^1$  and for all  $x$  either  $P_x$  is empty or contains a member in  $\Delta_1^1(x)$  then there is a  $\Delta_1^1$  recursive function  $f : \mathcal{X} \rightarrow \mathcal{Y}$  such that for all  $x$  for which  $P_x \neq \emptyset$  we have that  $P(x, f(x))$ . Similarly for  $\Pi_1^1$  in case  $P_x \neq \emptyset$  for all  $x \in \mathcal{X}$ .

*Example.* The projection of a convex Borel set  $R \subseteq \mathbb{R} \times \mathbb{R}$  is also a Borel set.

*Proof.*  $R$  is  $\Delta_1^1(\varepsilon)$  for some  $\varepsilon$ ; each non-empty section  $R_x$  is a convex subset of  $\mathbb{R}$  and so it is either a singleton or it contains a rational number. In either case  $R_x$  contains a member in  $\Delta_1^1(\varepsilon, x)$ . From (b)  $\text{pr}R$  is in  $\Delta_1^1(\varepsilon)$ .

*Theorem (VG).* Let  $X$  be a Banach space and  $(x_n)_{n \in \mathbb{N}}$  be a sequence in  $X$  which is weakly convergent to  $x \in X$ . Then  $x$  is in  $\Delta_1^1((x_n))$ , (in the parameters in which the related spaces are recursively presented).

*Corollary (VG).* Let  $X$  be a separable Banach space. Then the set

$$P = \{ (y_i)_{i \in \mathbb{N}} \in X^{\mathbb{N}} \mid \text{the sequence } (y_i)_{i \in \mathbb{N}} \text{ is weakly convergent} \}$$

is a coanalytic subset of  $X^{\mathbb{N}}$ .

Let  $Q$  be a coanalytic subset of  $X^{\mathbb{N}} \times X$ . Then the set

$$P_Q = \{ (y_i)_{i \in \mathbb{N}} \in X^{\mathbb{N}} \mid \text{the sequence } (y_i)_{i \in \mathbb{N}} \text{ is weakly convergent} \\ \text{to some } y \text{ and } Q((y_i)_{i \in \mathbb{N}}, y) \}$$

is a coanalytic subset of  $X^{\mathbb{N}}$ .

*Theorem (Erdős-Magidor).* Let  $X$  be a Banach space and  $(e_i)_{i \in \mathbb{N}}$  be a bounded sequence in  $X$ . Then there is a subsequence  $(e_{k_i})_{i \in \mathbb{N}}$  such that: either (a) every subsequence of  $(e_{k_i})_{i \in \mathbb{N}}$  is Cesàro summable with respect to the norm and all being summed to the same limit; or (b) *no* subsequence of  $(e_{k_i})_{i \in \mathbb{N}}$  is Cesàro summable.

*Theorem (VG).* Let  $X$  be a Banach space,  $(e_i)_{i \in \mathbb{N}}$  be a bounded sequence in  $X$  and let  $Q \subseteq X^{\mathbb{N}} \times X$  be a coanalytic set. Then there is a subsequence  $(e_i)_{i \in L}$  of  $(e_i)_{i \in \mathbb{N}}$  for which: either (a) there is some  $e \in X$  such that every subsequence  $(e_i)_{i \in H}$  of  $(e_i)_{i \in L}$  is weakly Cesàro summable to  $e$  and  $Q((e_i)_{i \in H}, e)$ ; or (b) for every subsequence  $(e_i)_{i \in H}$  of  $(e_i)_{i \in L}$  and every  $e \in X$  with  $Q((e_i)_{i \in H}, e)$  the sequence  $(e_i)_{i \in H}$  is not weakly Cesàro summable to  $e$ .

Debs gave the following effective version of a known theorem of Bourgain, Fremlin and Talagrand.

*Theorem (Debs).* Let  $\mathcal{X}$  be a recursively presented Polish space and  $(f_n)_{n \in \mathbb{N}}$  be a sequence of continuous functions from  $\mathcal{X}$  to  $\mathbb{R}$  which satisfies conditions (1) the sequence  $(f_n)_{n \in \mathbb{N}}$  is pointwise bounded; (2) every cluster point of  $(f_n)_{n \in \mathbb{N}}$  in  $\mathbb{R}^{\mathcal{X}}$  with the product topology is a Borel-measurable function; (3) the sequence  $(f_n)_{n \in \mathbb{N}}$  is  $\Delta_1^1(\alpha)$ -recursive.

A set  $A$  is Borel exactly when  $A$  is in  $\Delta_1^1(\varepsilon)$  for some  $\varepsilon \in \mathcal{N}$ .

A function  $f : \mathcal{X} \rightarrow \mathcal{Y}$  is continuous if and only if the set  $R^f \subseteq \mathcal{X} \times \omega$ ,  $R^f(x, s) \iff f(x) \in N(\mathcal{Y}, s)$  is open.

A function  $f : \mathcal{X} \rightarrow \mathcal{Y}$  is  $\Delta_1^1$ -recursive if and only if the set  $R^f \subseteq \mathcal{X} \times \omega$ ,  $R^f(x, s) \iff f(x) \in N(\mathcal{Y}, s)$ , is  $\Delta_1^1$ .

A point  $x \in \mathcal{X}$  is in  $\Delta_1^1$  if the relation  $U \subseteq \omega$  which is defined by

$$U(s) \iff x \in N(\mathcal{X}, s)$$

is  $\Delta_1^1$ .

As before one gets the class of points which are in  $\Delta_1^1(y)$ .



Debs gave the following effective version of a known theorem of Bourgain, Fremlin and Talagrand.

*Theorem (Debs).* Let  $\mathcal{X}$  be a recursively presented Polish space and  $(f_n)_{n \in \mathbb{N}}$  be a sequence of continuous functions from  $\mathcal{X}$  to  $\mathbb{R}$  which satisfies conditions (1) the sequence  $(f_n)_{n \in \mathbb{N}}$  is pointwise bounded; (2) every cluster point of  $(f_n)_{n \in \mathbb{N}}$  in  $\mathbb{R}^{\mathcal{X}}$  with the product topology is a Borel-measurable function; (3) the sequence  $(f_n)_{n \in \mathbb{N}}$  is  $\Delta_1^1(\alpha)$ -recursive. Then there is an infinite  $L \subseteq \omega$  which is in  $\Delta_1^1(\alpha)$  such that the subsequence  $(f_n)_{n \in L}$  is pointwise convergent.

*Theorem (VG).* Let  $X$  be a Banach space and  $(e_i)_{i \in \mathbb{N}}$  a bounded sequence in  $X$  for which every subsequence  $(e_i)_{i \in L}$  has a further subsequence  $(e_i)_{i \in H}$  which is weakly Cesàro summable. Then

- (1) every subsequence of  $(e_i)_{i \in \mathbb{N}}$  has a weakly *convergent* subsequence and
- (2) there is a Borel-measurable function  $f : [\mathbb{N}]^\omega \rightarrow [\mathbb{N}]^\omega$  such that for all subsequences  $(e_i)_{i \in L}$  the sequence  $(e_i)_{i \in f(L)}$  is a weakly convergent subsequence of  $(e_i)_{i \in L}$ .

Thank you!